

# Introduction to Self-Centering Earthquake Resisting Systems

Andre Filiatrault, Ph.D., Eng.



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## CONTENT

1. Introduction
2. Behavior of Self-centering Systems
3. Dynamic Response of MDOF Self-centering Systems
4. Ancient Applications of Self-centering Systems
5. Early Modern Applications of Self-centering Systems
6. Shape Memory Alloys
7. The Energy Dissipating Restraint (EDR)
8. Self-centering Dampers Using Ring Springs
9. Post-tensioned Frame and Wall Systems
10. Considerations for the Seismic Design of Self-centering Systems



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## 1. Introduction

- With current design approaches, most structural systems are designed to respond beyond the elastic limit and eventually to develop a mechanism involving ductile inelastic response in specific regions of the structural system while maintaining a stable global response and avoiding loss of life
- Resilient communities expect buildings to survive a moderately strong earthquake with no disturbance to business operation
- Repairs requiring downtime may no longer be tolerated in small and moderately strong events



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# 1. Introduction

- Current Seismic Design Philosophy

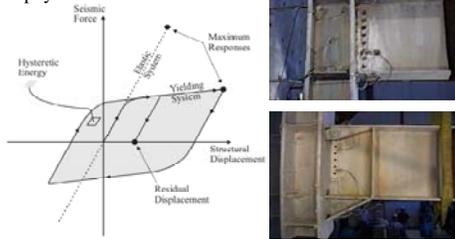


Figure 7.1 Idealized Seismic Response of Yielding Structure (from Christopoulos 2002)



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# 1. Introduction

- Current Seismic Design Philosophy
  - Performance of a structure typically assessed based on maximum deformations
  - Most structures designed according to current codes will sustain residual deformations in the event of a design basis earthquake (DBE)
  - Residual deformations can result in partial or total loss of a building:
    - static incipient collapse is reached
    - structure appears unsafe to occupants
    - response of the system to a subsequent earthquake or aftershock is impaired by the new at rest position
  - Residual deformations can result in increased cost of repair or replacement of nonstructural elements
  - Residual deformations not explicitly reflected in current performance assessment approaches.
  - Framework for including residual deformations in performance-based seismic design and assessment proposed by Christopoulos et al. (2003)
  - Chapter presents structural self-centering systems possessing characteristics that minimize residual deformations and are economically viable alternatives to current lateral force resisting systems



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# 2. Behavior of Self-centering Systems

- Optimal earthquake-resistant system should:
  - Incorporate nonlinear characteristics of yielding or hysteretically damped structures: limiting seismic forces and provide additional damping
  - Have self-centering properties: allowing structural system to return to, or near to, original position after an earthquake
  - Reduce or eliminate cumulative damage to main structural elements.



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## 2. Behavior of Self-centering Systems

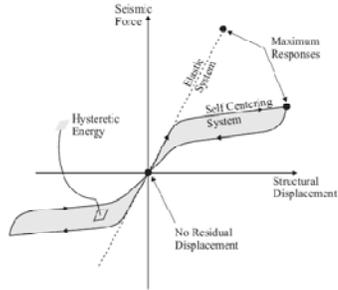


Figure 7.2 Idealized Seismic Response of Self-Centering Structure (from Christopoulos 2002)



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## 3. Dynamic Response of MDOF Self-centering Systems

- Response of 3, 6, 10-storey Steel Frames
- Self-centering Frames with Post-Tensioned Energy Dissipating (PTED) Connections vs. Welded Moment Resisting Frames (WMRF)
- Beam and Column Sections designed according to UBC 97 for a Seismic Zone 4 (Los Angeles)
- Special MRF, assuming non-degrading idealized behavior for welded MRFs
- A992 Steel, with RBS connections
- Hinging of beams and P-M interaction included
- 2% viscous damping assigned to 1st and (N-1)th modes
- 6 historical ground motions scaled to match code spectrum
- 20 second zero acceleration pad at end of records



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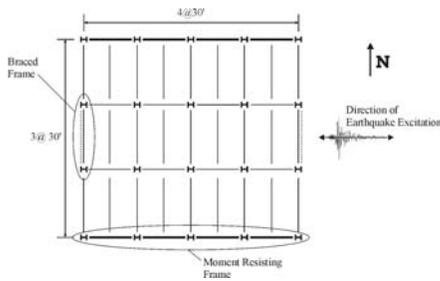
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## 3. Dynamic Response of MDOF Self-centering Systems



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### 3. Dynamic Response of MDOF Self-centering Systems

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### 3. Dynamic Response of MDOF Self-centering Systems

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### 3. Dynamic Response of MDOF Self-centering Systems

- Response of 3-Storey Frames to LP3 Record (0.5 g)

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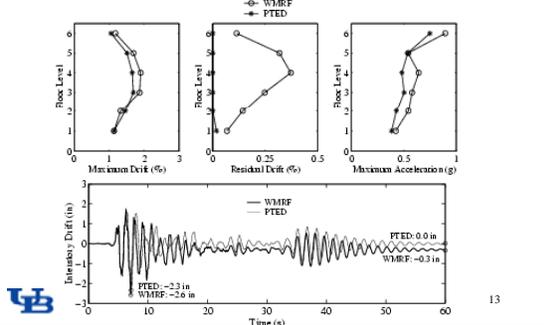
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### 3. Dynamic Response of MDOF Self-centering Systems

- Response of 6-Storey Frames to LP3 Record (0.5 g)




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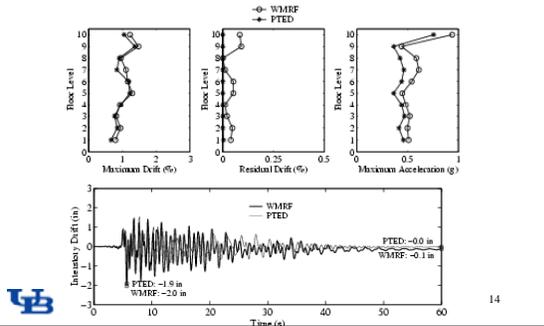
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### 3. Dynamic Response of MDOF Self-centering Systems

- Response of 10-Storey Frames to LP3 Record (0.5 g)




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### 3. Dynamic Response of MDOF Self-centering Systems

- Response of 6-Storey Frames to Ensemble of 6 Records

Response Index		CM2	LAN2	LP3	NOR3	NOR9	SUP3	MEAN
Maximum Drift (%)	MRF	1.62	2.32	1.91	1.24	1.50	2.01	1.77
	PTED	1.52	1.77	1.70	1.29	1.45	1.83	1.59
Residual Drift (%)	MRF	0.07	0.18	0.37	0.05	0.18	0.52	0.23
	PTED	0.00	0.13	0.02	0.00	0.02	0.05	0.04
Maximum Acceleration (g)	MRF	0.85	0.86	0.89	0.79	0.77	0.97	0.86
	PTED	0.79	0.80	0.75	0.65	0.60	0.79	0.73
Input Energy (kips.in)	MRF	14990	27670	11110	9134	8456	12460	13970
	PTED	6514	18455	8401	5953	6382	10985	9450
Hysteretic Energy (kips.in)	MRF	7282	17710	5481	2150	2761	7613	7166
	PTED	645	2904	1049	263	384	1847	1182

- PTED Frames :
  - similar maximum drifts as WMBFs (for all records)
  - limited residual drift at base columns unlike welded frame
  - similar maximum accelerations as WMBFs (for all records)

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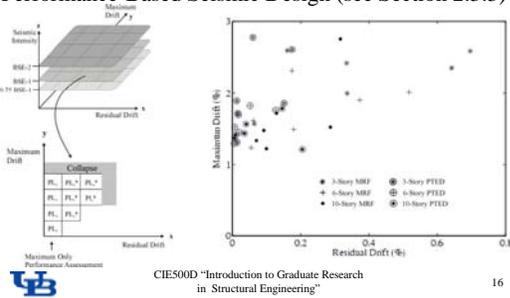
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### 3. Dynamic Response of MDOF Self-centering Systems

- Explicit Consideration of Residual Deformations in Performance-Based Seismic Design (see Section 2.3.3)




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### 4. Ancient Applications of Self-centering Systems



Figure 7.27 Ancient Greek Temple: a) General View and b) Segmental Column




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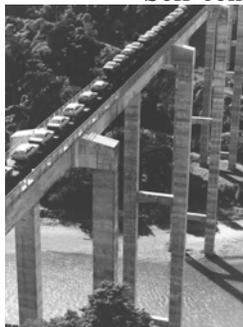
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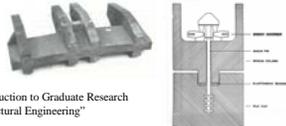
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### 5. Early Modern Applications of Self-centering Systems



- South Rangitikei River Railroad Bridge, New Zealand, built in 1981
- Piers: 70 m tall, six spans prestressed concrete hollow-box girder, overall span: 315 m
- Rocking of piers combined with energy dissipation devices (torsional dampers)
- Gravity provides self-centering force




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## 6. Shape Memory Alloys

- Superelasticity
  - Shape Memory Alloys (SMAs): class of materials able to develop superelastic Behavior
  - SMAs are made of two or three different metals
    - Nitinol: 49% of Nickel and 51% of Titanium.
  - Copper and zinc can also be alloyed to produce superelastic properties.
  - Depending on temperature of alloying, several molecular rearrangements of crystalline structure of alloy are possible
  - Low alloying temperatures: martensitic microstructure
  - High alloying temperatures austenitic microstructure



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## 6. Shape Memory Alloys

- Superelasticity

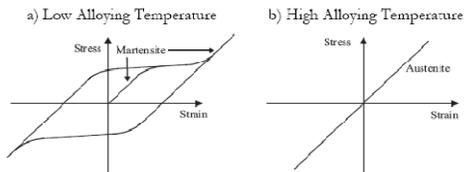


Figure 7.29 SMAs Hysteretic Behaviour: a) for Low Alloying Temperatures and b) for High Alloying Temperatures



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## 6. Shape Memory Alloys

- Superelasticity

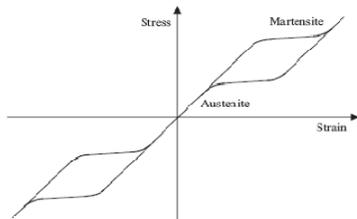


Figure 7.30 SMAs Superelastic Behaviour for Intermediate Alloying Temperatures



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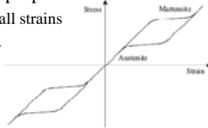
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## 6. Shape Memory Alloys

- Superelasticity

- Advantages for supplemental damping purposes:

- Exhibits high stiffness and strength for small strains
    - It becomes more flexible for larger strains.
    - Practically no residual strain and
    - Dissipate energy



- Disadvantages:

- Sensitive to fatigue: after large number of loading cycles, SMAs deteriorate into classical plastic Behavior with residual strains
    - Cost




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## 6. Shape Memory Alloys

- Experimental Studies

- Aiken et al. (1992):

- Studied experimentally the use of Nitinol as energy dissipating element
    - Shake table tests a small-scale 3-storey steel frame

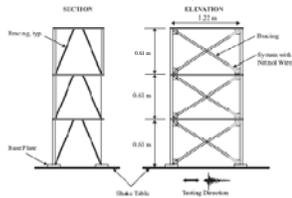


Figure 7.31 Three-Storey Test Frame Used for Shake Table Studies of Nitinol SMA (after Aiken et al. 1992)




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## 6. Shape Memory Alloys

- Experimental Studies

- Aiken et al. (1992):

- Nitinol wires incorporated at each end of the cross braces
    - Nitinol loaded in tension only
    - No preload in Nitinol wires for initial shake table tests

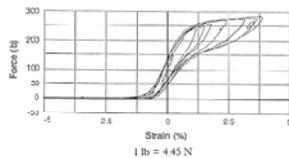


Figure 7.32 Hysteretic Behaviour of Nitinol Wires Recorded During Shake Table Tests (from Aiken et al. 1992, reproduced with the permission of the New Zealand Society for Earthquake Engineering)




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## 6. Shape Memory Alloys

- Experimental Studies

- Ocel et al. (2004):

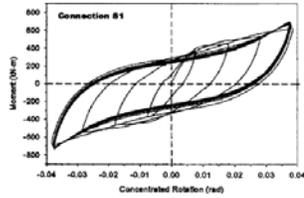


Figure 7.39 Hysteretic Response of a Steel Beam-Column Connection Incorporating Nitinol Bars (from Ocel et al. 2004, reproduced with the permission of the American Society of Civil Engineers)



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## 6. Shape Memory Alloys

- Experimental Studies

- Ocel et al. (2004):

- Nitinol rods re-heated above alloying temperature
    - Re-generate austenitic microstructure and recover initial shape
    - Rods heated for 8 minutes at 300°C and ¾ of permanent deformations recovered



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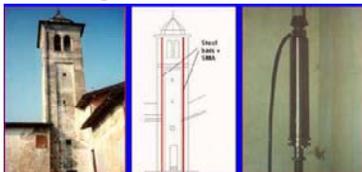
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## 6. Shape Memory Alloys

- Structural Implementations

- Seismic retrofit of historical San Giorgio bell tower, Italy
    - Damaged after 1996 Modena and Reggio earthquake
    - Nitinol wires introduced and prestressed through masonry walls of bell tower to prevent tensile stresses



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## 6. Shape Memory Alloys

- Structural Implementations
  - Seismic rehabilitation of Upper Basilica di San Francesco in Assisi, Italy
    - Damaged by the 1997-98 Marche and Umbria earthquakes
    - Nitinol wires used in post-tensioning rods



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## 7. The Energy Dissipating Restraint (EDR)

- Hysteretic Behavior
  - Manufactured by Fluor Daniel, Inc.
  - Originally developed for support of piping systems
  - Principal components:
    - internal spring, steel compression wedges, bronze friction wedges, stops at both ends of internal spring, external cylinder

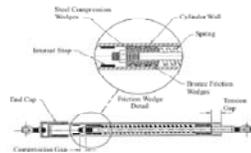


Figure 7-40 Energy Dissipating Restraint (from Niino et al. 1993, reproduced with the permission of the Earthquake Engineering Research Institute)

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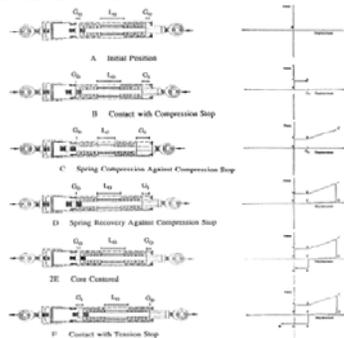
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## 7. The Energy Dissipating Restraint (EDR)

- Hysteretic Behavior



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## 7. The Energy Dissipating Restraint (EDR)

- Hysteretic Behavior

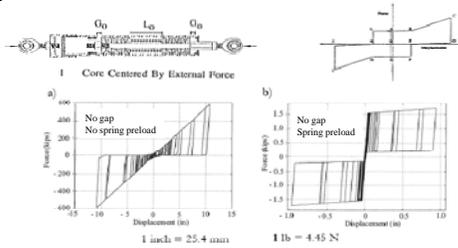


Figure 7.41 Hysteretic Loops for Various Configurations of EDR (from Niimi et al. 1993, reproduced with the permission of the Earthquake Engineering Research Institute)




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## 7. The Energy Dissipating Restraint (EDR)

- Experimental Studies

- Aiken et al. (1993):
  - Same three storey steel frame as for SMA damper tests

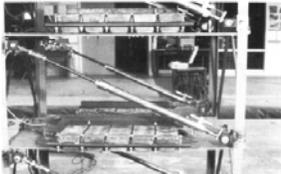


Figure 7.42 Test Frame with EDR (from Aiken et al. 1993, reproduced with the permission of the Earthquake Engineering Research Institute)




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## 7. The Energy Dissipating Restraint (EDR)

- Experimental Studies

- Aiken et al. (1993):

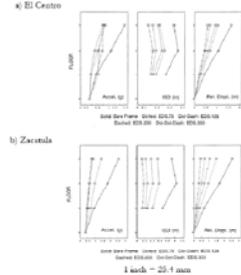


Figure 7.43 Effects of EDRs on the Seismic Response of Test Frame (from Aiken et al. 1993, reproduced with the permission of the Earthquake Engineering Research Institute)




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## 9. Post-tensioned Frame and Wall Systems

- Concrete Frames
  - PRESSS (PREcast Seismic Structural Systems) program
    - Use of unbonded post-tensioning elements to develop self-centering hybrid precast concrete building systems

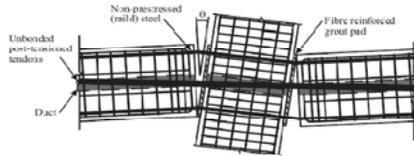


Figure 7.50 Hybrid Frame System (after Stanton and Nakaki 2002)



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## 9. Post-tensioned Frame and Wall Systems

- Concrete Frames
  - PRESSS (PREcast Seismic Structural Systems) program



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## 9. Post-tensioned Frame and Wall Systems

- Concrete Frames
  - PRESSS (PREcast Seismic Structural Systems) program

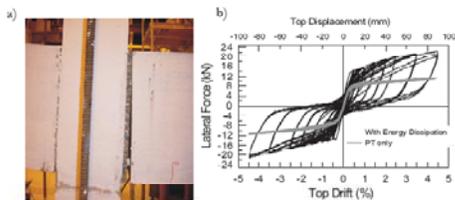


Figure 7.53 Hybrid Connection of Five-Storey PRESSS Building: a) Photo at 4% Drift Ratio and b) Force-Deflection Response (courtesy of S. Pampanin)



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## 9. Post-tensioned Frame and Wall Systems

- Hysteretic Characteristics of Post-Tensioned Energy Dissipating (PTED) Connections

– Self-centering conditions:  $M_A \geq (k_2 - k_3)\theta_B$

$k_2$  = Elastic axial stiffness of ED elements

$k_3$  = Post-yield axial stiffness of ED elements

$\theta_B$  = Gap opening angle at first yield of ED elements

(textbook p. 256-262)

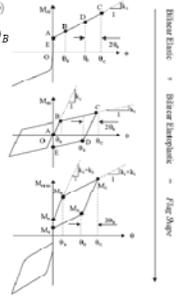
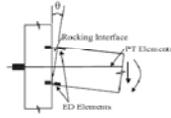


Figure 7.54 Post-Tensioned Connection: a) Generic Post-Tensioned Connection and b) Hysteretic of Post-Tensioned Connection.




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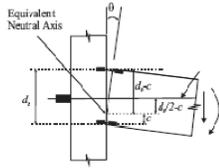
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## 9. Post-tensioned Frame and Wall Systems

- Sectional Analysis of PTED Connections



<b>PT BARS</b>	<b>ED BARS</b>	<b>COMPRESSION ZONE</b>
$\epsilon_{PT} = \epsilon_{in} + \frac{(d_b/2 - c)\theta}{L_{PT}} \left[ 1 - \frac{A_{PT}}{A_b} \right]$	$\epsilon_{ED} = \frac{[\theta(d_b - t_f - c)]}{L_{ED}}$	$\epsilon_{max} = c \left( \frac{\theta}{d_b} + \alpha\phi_s \right)$



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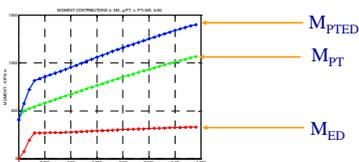
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## 9. Post-tensioned Frame and Wall Systems

- Sectional Analysis of PTED Connections

– Construct complete moment-rotation relationship of connection by increasing  $\theta$  and computing the corresponding moment

– Separate PT and ED contributions



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## 9. Post-tensioned Frame and Wall Systems

- Cyclic Modelling of PTED Connections with Equivalent Nonlinear Rotational Springs



Figure 7.58 Rotational Spring Model for PTED Connections Figure 7.59 Experimental Results and Numerical Cycle Prediction of Axial Force versus Incremental Drift for PTED Extension Connection (from Christopoulos 2002a)




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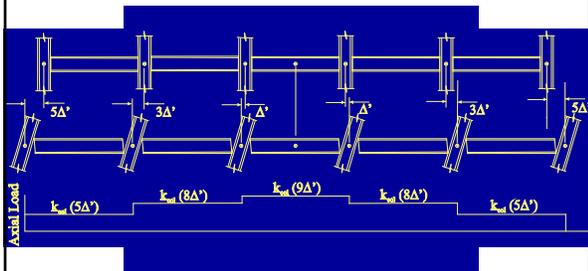
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## 9. Post-tensioned Frame and Wall Systems

- Extension of PTED Model to Constrained Beams




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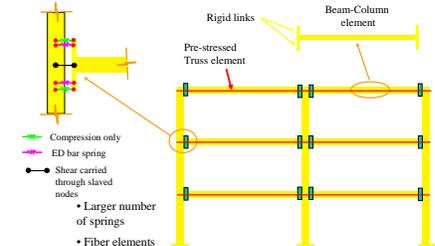
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## 9. Post-tensioned Frame and Wall Systems

- Extension of PTED Model to Constrained Beams
  - Model Accounting for Beam Depth




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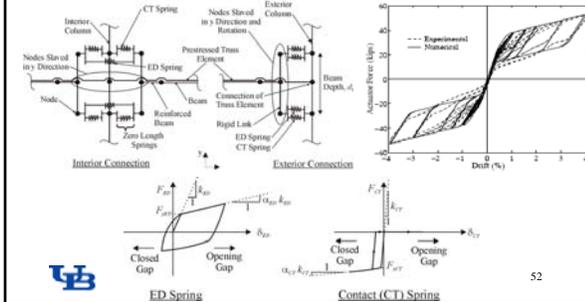
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## 9. Post-tensioned Frame and Wall Systems

- Extension of PTED Model to Constrained Beams
  - Model Accounting for Beam Depth




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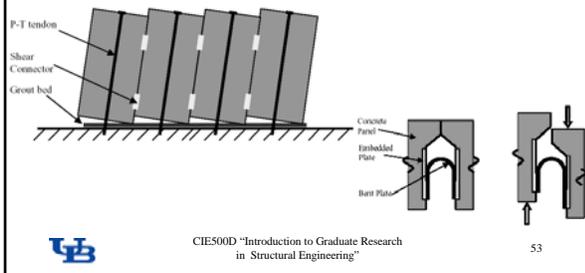
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## 9. Post-tensioned Frame and Wall Systems

- Concrete Walls
  - Post-Tensioned Rocking Wall System (Stanton et al. 1993)




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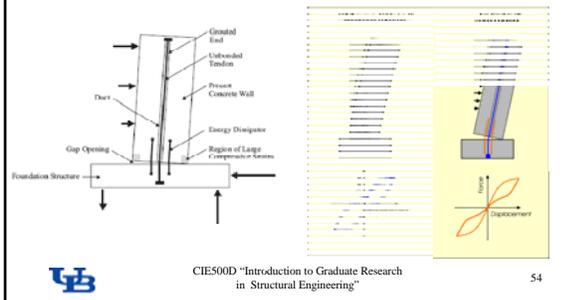
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## 9. Post-tensioned Frame and Wall Systems

- Concrete Walls
  - Jointed Cantilever Wall System (Restrepo 2002)




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## 9. Post-tensioned Frame and Wall Systems

- Concrete Walls
  - Jointed Cantilever Wall System (Restrepo 2002)



Extent of damage at 6% drift



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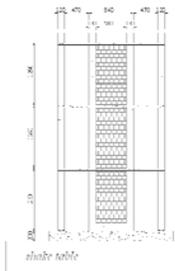
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## 9. Post-tensioned Frame and Wall Systems

- Self-centering Systems for Confined Masonry Walls



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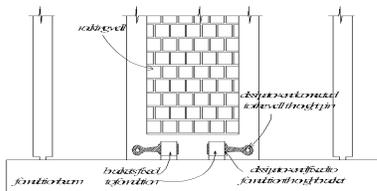
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## 9. Post-tensioned Frame and Wall Systems

- Self-centering Systems for Confined Masonry Walls



Torran1 Torran2



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## 9. Post-tensioned Frame and Wall Systems

- Self-Centering Systems for Steel Structures
  - Hybrid Post-Tensioned Connection (Ricles et al. 2001)

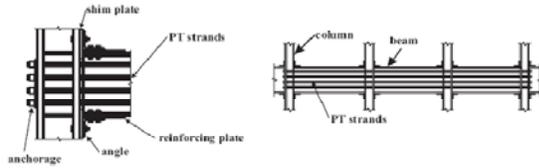


Figure 7.65 Hybrid Post-Tensioned Connection for Steel Frames (after Ricles et al. 2001)




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## 9. Post-tensioned Frame and Wall Systems

- Self-Centering Systems for Steel Structures
  - PTED Connection (Christopoulos et al. 2002a, 2002b)

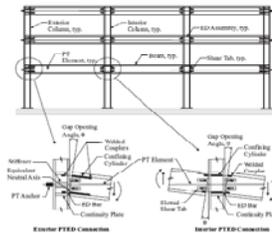


Figure 7.66 PTED Connection for Steel Frames (from Christopoulos et al. 2002)




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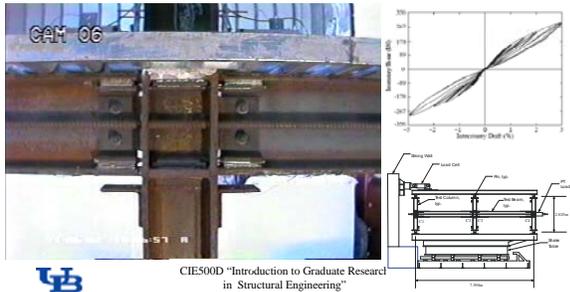
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## 9. Post-tensioned Frame and Wall Systems

- Self-Centering Systems for Steel Structures
  - PTED Connection (Christopoulos et al. 2002a, 2002b)




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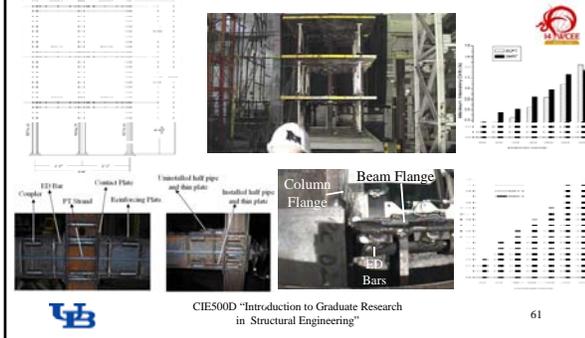
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## 11. Post-tensioned Frame and Wall Systems

- Shake table testing of PTED frame (Wang and Filiatrault 2008)




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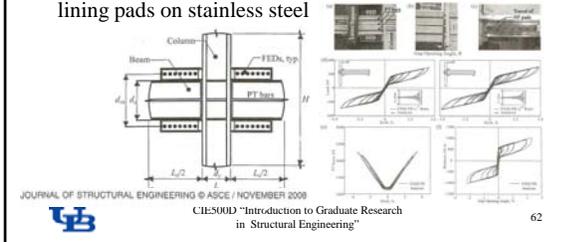
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## 11. Post-tensioned Frame and Wall Systems

- Self-Centering Systems for Steel Structures
  - Friction Damped PT Frame (Kim and Christopoulos 2008)
  - ED bars replaced by Friction Energy Dissipating (FED) connections made of Non Asbestos Organic (NAO) brake lining pads on stainless steel




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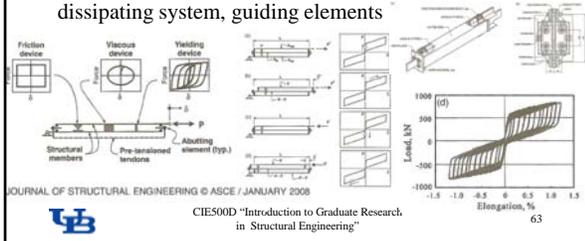
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## 11. Post-tensioned Frame and Wall Systems

- Self-Centering Systems for Steel Structures
  - Self-Centering Energy Dissipating Bracing System (Christopoulos et al. 2008)
  - Two bracing members, tensioning system, energy dissipating system, guiding elements




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## Questions/Discussions



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